## SPECAT

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3 E C R E T SPECAT-SECTION O1 OF 03 HOSCON 30544

DECAPTIONED EXDIS

E.O. 12156: DECL: DADR TAGS: PREL, MMUC, PARM, UR, US IS MORRIED ABOUT UKRAINE, BUT FEELS SUBJECT: KRAVCHUK MAY BACK OFF AFTER THE REFERENDUN 1.4(9)

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1. (SEGRET -- ENTIRE TEXT.)

SUMMARY. THE UKRAINIAN PARLIAMENT'S OCTOBER 22 DECISION TO CONTINUE DISCUSSION OF A PLAN TO ESTABLISH A STANDING UKRAINIAN ARMY (SEPTEL) AND POSSIBLE IMPLICATIONS FOR CONTROL OF NUCLEAR WEAPONS BASED IN UKRAINE WAS THE MAJOR THEME OF POLICY PLANNING STAFF MEMBER EINHORN'S OCTOBER 23 MEETING

WILL BE A MEMBER OF THE SOVIET DELEGATION TO THE MID-EAST PEACE CONFERENCE, SAYS THE UKRAINE'S ACTION IS A SPECIFIC VIOLATION OF UNDERTAKINGS MADE TO AND RSFSR VICE PRESIDENT RUTSKOY IN KIEV. IS WORRIED ABOUT THE UKRAINE AND ITS POTENTIAL FOR NUCLEAR PROLIFERATION, BUT FEELS KRAYCHEK IS GRANDSTANDING AND MAY BE SUSCEPTIBLE TO REASON AFTER THE DECEMBER 1 REFERENDUM.

PERSONALLY, FEELS THE UKRAINIANS' ASPIRATIONS TO BE INVOLVED IN NUCLEAR ISSUES COULD BE ADDRESSED BY MEASURES SUCH AS ESTABLISHING A CONSULTATIVE GROUP, SIMILAR TO NATO'S NPG, OF THE FOUR REPUBLICS ON WHOSE TERRITORY ICEM'S ARE STATIONED. ON START/CFE RATIFICATION, AND IF UKRAINIAN REPRESENTATIVES DO NOT JOIN THE SUPREME SOVIET, RECOMMENDS PROCEEDING IN EARNEST ONLY AFTER DECEMBER 1. HE SUGGESTS PUTTING THE ONUS ON THE UKRAINIANS THROUGH U.S. AND WEST EUROPEAN APPROACHES TO THEM, WITH U.S. SENATE ACTION PRECEDING A PUBLIC INVITATION TO THE UKRAINIANS TO PARTICIPATE IN THE CENTER'S RATIFICATION OF THE TREATIES. END SUMMARY.

4. UKRAINE'S CONTROL OF FORCES. SAID THE UKRAINE'S ACTION EXPLICITLY VIOLATES UNDERTAXINGS WHICH HE AND RUTSKOY WERE GIVEN IN KIEV, SPECIFICALLY THAT THE UKRAINE AGREED TO REFRAIN FROM ANY UNILATERAL ACT WITH IMPLICATIONS FOR THE STRATEGIC SITUATION. SAID THE ACTUAL SITUATION REMAINS TO BE XLARIFIED BUT IT APPEARS THAT THE UXRAINIANS ARE IN PROCESS OF NAMING LOCAL ARMY, NAVY, AIR FORCE, AND STRATESIC ROCKET COMMANDERS. (COMMENT: WE HAVE NO CONFIRMATION THIS IS HAPPENING.) BELIEVES THAT KRAVCHUK, IN THE RUNUP TO DECEMBER 1, IS "DOING

++++++ FOR JS ++++++ REVIEW FOR DISTRIBUTION TO CINCS -SUBMIT JCS-DSS FORM 30 IF REQUIRED

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ACTION

CJCS(1; J6(3) J5(1) SECDEF-H., USDP-SPECTAL HAMBLING(13) USDA:PBL(1) USDA:AE(1) USDA:STNFSAC(1) ASD:PA(2) USDP-CH(1) USDP:PD(1) DR(1) DD(1) PIO(1) ODE-AC(1) IMM(2) DA-SA(1) OSC\_CI(1) MWS(1) DA-PA(1) DI(1) DIO(1) ODB(1) DIA(D) +OCSA WASHINGTON DC +CHO WASHINGTON DC

+NATS PENTAGON WASHINGTON DC +NATS PENTAGH WASHINGTON DC +USMAR SHAPE BE//SURVEY//

**REVIEW AUTHORITY:** Martin McLean, Senior Reviewer

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UKRAINIAN DELEGATION COME TO HOSCOW, PERHAPS AS CDSN=MAU657 13

PAGE 1 OF 241417Z OCT 03 SECT MSG

AMONG THEKSELVES, BUT WE ARE DEEPLY CONCERNED THAT NO REPUBLIC HAVE INDEPENDENT COMMAND AUTHORITY OR CONTROL. THIS WOULD MEAN NUCLEAR PROLIFERATION AND HAVE DISASTROUS CONSEQUENCES FOR OVERALL STABILITY IN THE REGION AS WELL AS FOR THE REPUBLICS' ECONOMIES. SAID "WE ARE NO LESS CONCERNED." AND SUGGESTED THAT ESTABLISHING AN ADVISORY COMMITTEE OF THE FOUR REPUBLICS, ALONG LINES OF NATO'S NPG, WOULD BE ONE MAY OF ACCOMMODATING THE UKRAINIAMS' CONCERNS WITHOUT GIVING THEM SUCH INDEPENDENT CONTROL ALSO THE UKRATUTANS COLLD PARTICIPATE IN A STATE COUNCIL WORKING GROUP ON NUCLEAR DEFENSE, AS WELL AS IN THE DEFENSE COMMITTEE OF THE UNION PARLIAMENT. ASKED IF ANY DISCUSSIONS REGARDING NUCLEAR ARRANGEMENTS WERE ALREADY GOING ON AMONG THE REPUBLICS, AS UKRAINIAN FOREIGH MINISTER ZLENKO HAD INTIMATED IN WASHINGTON THERE MIGHT BE. AFFIRMED THERE ARE NO NEGOTIATIONS. EINHORN NOTED THAT RUTSKOY SAID IN A RECENT INTERVIEW WITH \*DIE WELT\* THAT GORBACHEV AND YEL'TSIN HAD AGREED TO DUAL NUCLEAR CONTROL. SAID THIS IS CORRECT --DUAL NUCLEAR CONTROL. GORBACHEV HAD ASSENTED THE DAY AFTER RETURNING FROM THE CRIMEA -- BUT IT WAS UNFORTUNATE THAT RUTSKOY WAS TAKING ABOUT IT IN PUBLIC. THIS INVITED BROADER

EVERYTHING HE CAN TO DEMONSTRATE HE'S A NATIONAL

CONCERNED: THAT ANY SHARED RESPONSIBILITY FOR THE

DISPOSITION OF NUCLEAR WEAPONS AND EVEN THEIR USE IS THE BUSINESS OF THE CENTER AND REPUBLICS TO WORK OUT

EINHORN REVIEWED THE U.S. MESSAGE TO ALL PARTIES

EXDIS-

TAGS: PREL MNUC, PARM, UR, US IS WORRIED ABOUT UKRAINE, BUT FEELS KRAVCHUK MAY BACK OFF AFTER THE REFERENDUM

S E C R E T SPECAT SECTION 02 OF 03 HOSCOW 30544

COMPLICATIONS WHEN A PRIVATE AGREEMENT WOULD HAVE SUFFICED.

6. UKRAINIAN MOTIVES. ASKED TO COMMENT ON THE EVOLUTION OF THE UKRAINIANS. INTEREST IN A NUCLEAR WEAPONS FREE ZONE AND JOINING NPT, FOLLOWED BY REFUSAL TO TRANSFER "THEIR" WEAPONS TO RUSSIA, POSTPONEMENT OF AN HYFZ, AND INSISTENCE ON A ROLE IN THE DISPOSITION OF WEAPONS, ANSWERED FULL-BORE:

-- THE UKRAINE HAS NO TRADITIONS AS AN ESTABLISHED STATE AND NEVER HAD CLEAR BORDERS. ITS CURRENT "ABSOLUTELY ARTIFICIAL" BORDERS WERE MADE MORSE BY ADDITIONS TO ITS TERRITORY IN KHRUSHCHEV'S TIME.

-- MOST OF THE CURRENT UKRAINIAN LEADERSHIP IS "ABSOLUTELY IGNORANT." THEIR UNDERSTANDING OF THE INTERNATIONAL SITUATION IS "LIMITED," AND THEY HAVE A "LOVE/HATE RELATIONSHIP WITH GREAT POWERS."

THEY CHANGED THEIR APPROACH WHEN THEY REALIZED THAT UKRAINE'S GREAT POWER STATUS WOULD DEPEND ON NUCLEAR WEAPONS.

CRAVERUK AND ZLENEC ARE "CLEVER." HOWEVER, AND MAY BE REASONABLE AFTER DECEMBER 1.

START/CFE RATIFICATION. SAID IT WOULD BE

FOR YOU" -- TO BEGIN THE RATIFICATION PROCESS

JOINING THE SUPREME SOVIET: THAT A SPECIAL

WITHOUT UKRAINIAN PARTICIPATION, HE SUGGESTED A

FALLBACK POSITION TO A NORMAL UKRAINIAN DELEGATION

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OBSERVERS, FOR THE SPECIFIC PURPOSE OF PARTICIPATING IN THE COUNCIL OF REPUBLICS' VOTES ON START/CFE. ASKED ABOUT TIMING, WALL SAID THE SPECIAL DELEGATION WAS HIS OWN IDEA, BUT THAT NOTHING MUCH COULD BE DONE BEFORE THE DECEMBER 1 REFERENCEM. AFTER THAT, START/CFE RATIFICATION WOULD BE AN "EXCELLENT TEST CASE" OF UKRAINIAN POLICY.

8. SUGGESTED BRINGING PRESSURE ON THE UKRAINIANS THROUGH APPROACHES BY THE U.S. AND WESTERN COUNTRIES, THEN MOVING TO A RUSSIAN-UKRAINIAN DIALOGUE. HE SUGGESTED ANOTHER SCENARIO FOR PUTTING THE ONUS ON THE UKRAINIANS. THE U.S. SENATE WOULD RATIFY, AFTER WHICH THE U.S.. WESTERN COUNTRIES, AND RUSSIA MOULD APPEAL TO THE UKRAINIANS TO JOIN IN SUPPORT FOR RATIFICATION. IF THEY FAILED TO DO SO, THE CURRENT SUPREME SOVIET MOULD PROCEED WITH RATIFICATION AND THE UKRAINIANS—COULD BE PUBLICLY CRITICIZED.

DOS 1.4(d)

OSD 3.3(b)(6)

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9. INTRA-REPUBLICAN CONSULTATIVE ARRANGEMENTS.

SAID BETTER ARRANGEMENTS ARE CLEARLY
NECESSARY: HE ACKNOWLEDGED THAT GORBACHEV HAD
SPOKEN WITH YEL'TSIN BEFORE RESPONDING TO THE
PRESIDENT'S NUCLEAR INITIATIVE, BUT NOTED THAT
YEL'TSIN STILL "MEEDS EDUCATING" ON THE ISSUES AND
THAT REAL CONSULTATIONS NOULD HAVE TO TAKE PLACE AT
LOWER LEVELS. THERE REMAINS A PROBLEM IN
GORBACHEV'S MANTING TO DO THINGS WITHOUT MEANINGFUL
CONSULTATIONS. AND YEL'TSIN IS BEING PUBLICLY
CRITICIZED FOR LACK OF A CONSULTATIVE MECHANISM.

10. MIRV'S. EINHORN NOTED THAT, IN A STATEMENT
/----- ISSUED FOLLOWING GORBACHEV'S RESPONSE,
KOZYREV HAD SAID THE RSFSR WANTED TO MAKE DEEPER
CUTS IN NUCLEAR WEAPONS. DID THIS MEAN MIRVED
ICAN'S? SAID HE PERSONALLY SUPPORTS ACTIVITY
IN SEEKING MIRV REDUCTIONS. HOWEVER, THE U.S.
"SHOULD DO SOMETHING TO MAKE OUR POSITION MORE
FLEXIBLE. YOU SHOULD BO SOMETHING, TOO."

BT S.E.C. R.E.T. SPECAT SECTION 03 OF 03 NOSCOV 30544

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E.O. 1235B: DECL:OADRTAGS: PREL MINUC, PARM, UR. US
SUBJECT: IS WORRIED ABOUT UKRAINE, BUT FEELS
/----- KRAVCHUK MAY BACK OFF AFTER THE REFERENDEM

11. "FUTURE SECURITY AGENDA" TALKS. THE TALKS. IN WHICH IS A PUTATIVE PARTICIPANT, WERE A THREAD THROUGHOUT THE CONVERSATION. ENDORSED THEM AS A VEHICLE FOR INFLUENCING THE UKRAINIANS AND OTHER REPUBLICS. HE EXPRESSED CONCERN THAT BYELORUSSIA AND KAZAKHSTAN WOULD BE OFFENDED IF OTHER REPUBLICS WERE INVITED AND THEY WERE NOT, ALTHOUGH HE ACCEPTED THAT REPUBLICS NOT INVITED TO THE FIRST SESSION COULD BE INVITED LATER. HE EXPRESSED CONCERN THAT SOVIET FIRST DEPUTY FOREIGN MINISTER PETROVSKIY WOULD NOT INSPIRE CONFIDENCE IN SECURING GOOD REPUBLICAN REPRESENTATION. ALTERNATIVELY, SAV MERIT IN NOT INVITING UKRAINE TO THE FIRST SESSION, WHICH WILL BE HELD BEFORE THE DECEMBER 1 REFERENCEM. HE RECOMMENDED WAZARBAYEV'S FRIEND SULEIMENDY (PHON) AS A POTENTIAL KAZAKH INVITEE. BROADLY, EXPRESSED SOME CONCERN THAT HIS FRIEND YAROYLEV MIGHT BE CONFUSING "APPROPRIATE" REPRESENTATION: THE TALKS WITH "COMFORTABLE" REPRESENTATION: THE GOAL SHOULD BE TO SEEK "REPRESENTATIVE REPRESENTATION " STRAUSE" BT

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