



Department of the Secretary of State

Bureau of Corporations, Elections and Commissions

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Secretary of State

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State of Maine Revised Budget Narrative: HAVA Election Security Grant Funds Submitted by Matthew Dunlap, Secretary of State, August 27, 2018

The Maine Secretary of State has applied for and received the full amount of federal HAVA Election Security Grant funds currently available to Maine, which is \$3,130,979 and has requested the state match of \$156,549 in its FY19-20 state budget. The Secretary of State has requested for these funds to be included in the Governor's budget which will be submitted to the 129th State Legislature, when it convenes in December of 2018. If approved by the Legislature, the earliest the matching funds will be available is July 1, 2019.

Following is the required budget narrative to accompany the attached budget spreadsheet.

Voting Equipment: Maine currently uses fairly new digital scan voting tabulators that scan and tabulate a paper ballot marked by the voter; however, the lease for this equipment expires at the end of calendar year 2019. Maine has determined that leasing, rather than purchasing, voting tabulators, provides the best value for the State. Accordingly, the State will conduct a competitive bid process for leasing replacement technology prior to the expiration of the lease agreement. Although the current tabulators are used in about 265 of Maine's 500 voting jurisdictions, there are 235 jurisdictions that still hand-count their ballots. We propose to spend \$797,528 (which includes \$740,979 in HAVA funds and \$56,549 in state matching funds) - to augment State funds currently spent on the existing leases - to upgrade this voting equipment and deploy it to an additional 75 to 100 municipalities. There are over 150 municipalities in Maine with fewer than 500 registered voters. More than half of these municipalities have fewer than 200 voters. The Secretary of State has no plans at this time to deploy new tabulating equipment to these 150 municipalities as it would not be cost-effective and we are concerned with the ability of these small jurisdictions to properly store, test and secure this equipment.

Voter Registration Systems: We propose \$1,860,000 (which includes \$1,760,000 in HAVA funds and \$100,000 in state matching funds) to upgrade Maine's Central Voter Registration (CVR) system hardware and software, develop a system for electronic capture and transmission of registrations from motor vehicle offices, implement election night reporting, and enhance voter registration data maintenance programs. Each of these upgrades has components of cyber security included, in addition to the projects identified as directly involving cybersecurity upgrades.

Cybersecurity: We intend to spend \$367,500 in HAVA funds for voter registration system hardware upgrades, cybersecurity software improvements and monitoring, enhanced user access controls and cybersecurity training for municipal election officials.

Other: Ballot Security and Online Training: We plan to spend \$262,500 in HAVA funds to replace 25-year old ballot security storage and transfer equipment and to implement an online training system to improve the delivery of training to municipal elections officials.

2018 HAVA ELECTION SECURITY GRANT

Budget Information

CFDA # 90.404

Non-Construction Program

Name of Organization:

Budget Period Start:

SECTION A - BUDGET SUMMARY

(Consolidated Budget for total project term-- up to 5 years as defined by grantee)

Budget Period End:

FEDERAL & NON-FEDERAL FUNDS (Match)

PROGRAM CATEGORIES

BUDGET CATEGORIES	(a) Voting Equipment	(b) Election Auditing	(c) Voter Registration Systems	(d) Cyber Security	(e) Communications	(f) Other: Ballot Security	(g) Other: Online Training	TOTALS	% Fed Total
1. PERSONNEL (including fringe)								\$ -	0%
2. EQUIPMENT	\$ 706,523			\$ 20,000		\$ 200,235		\$ 926,758	31%
3. SUBGRANTS- to local voting jurisdictions								\$ -	0%
4. TRAINING				\$ 50,000				\$ 50,000	2%
5. All OTHER COSTS			\$ 1,678,160	\$ 280,411			\$ 50,059	\$ 2,008,630	67%
6. TOTAL DIRECT COSTS (1-6)	\$ 706,523	\$ -	\$ 1,678,160	\$ 350,411	\$ -	\$ 200,235	\$ 50,059	\$ 2,985,388	
7. INDIRECT COSTS (if applied)	\$ 34,456	\$ -	\$ 81,840	\$ 17,089		\$ 9,765	\$ 2,441	\$ 145,591	5%
8. Total Federal Budget	\$ 740,979	\$ -	\$ 1,760,000	\$ 367,500	\$ -	\$ 210,000	\$ 52,500	\$ 3,130,979	
11. Non-Federal Match	\$ 56,549		\$ 100,000					\$ 156,549	
12. Total Program Budget	\$ 797,528	\$ -	\$ 1,860,000	\$ 367,500	\$ -	\$ 210,000	\$ 52,500	\$ 3,287,528	
13. Percentage By Category	24%	0%	56%	12%	0%	7%	2%		

Proposed State Match

A. Do you have an Indirect Cost Rate Agreement approved by the Federal government or some other non-federal entity?
If yes, please provide the following information:

B. Period Covered by the Indirect Cost Rate Agreement (mm/dd/yyyy-mm/dd/yyyy):

C. Approving Federal agency:

D. If other than Federal agency, please specify:

E. The Indirect Cost Rate is: